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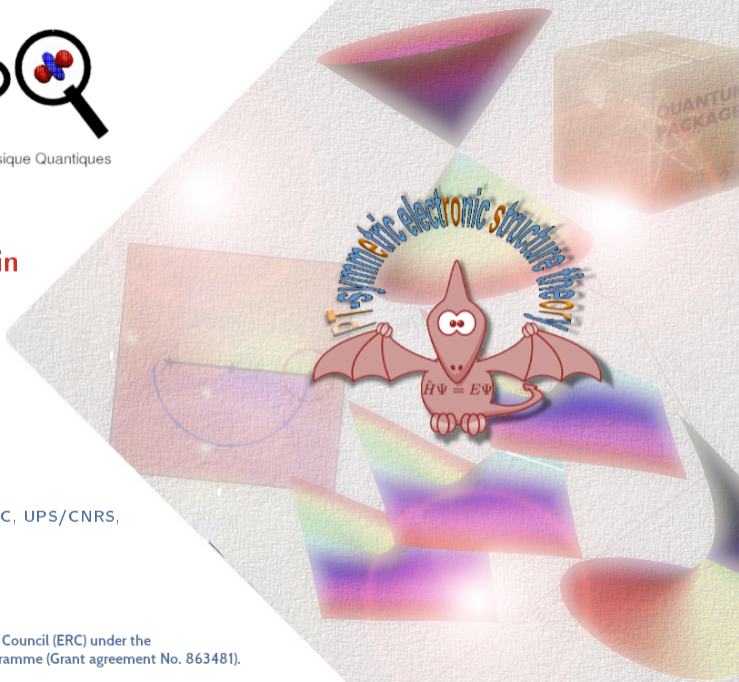
Exploiting the seniority number in calculations of molecular excited states

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<https://lcpq.github.io/pterosor>



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Part 1: Targeting doubly-excited states with coupled cluster



- ▶ One of the most successful approaches for the description of chemical systems.
- ▶ CC ansatz (exponential excitation operator of a single-determinant reference):

$$|\Psi\rangle = e^{\hat{T}} |\Phi\rangle, \quad (1)$$

- ▶ The cluster operator involves singles, doubles... excitations:

$$\hat{T}_n = \frac{1}{(n!)^2} \sum_{ij\dots} \sum_{ab\dots} t_{ij\dots}^{ab\dots} c_a^\dagger c_b^\dagger \dots c_j c_i, \quad (2)$$

- ▶ The CC equations are solved by projection:

$$E_{CC} = \langle \Phi | \bar{H} | \Phi \rangle \quad (3a)$$

$$0 = \langle \Phi_i^a | \bar{H} | \Phi \rangle, \quad (3b)$$

- ▶ where the effective (non-Hermitian) similarity-transformed Hamiltonian is

$$\bar{H} = e^{-\hat{T}} \hat{H} e^{\hat{T}}. \quad (4)$$



Approach 1:

- ▶ Equation-of-motion formalism, starting from a ground-state coupled cluster wave function
- ▶ Well-defined, black box, established approach, though biased towards the ground state

Approach 2:

- ▶ Solve ground-state coupled cluster equations for higher-lying roots or starting from state-specific reference wave functions
- ▶ Demands much more care: choice of reference, unphysical solutions, algorithms. In principle more balanced and possibly cheaper



Paired coupled cluster doubles (pCCD)

pCCD: excitation manifold restricted to the paired double excitations

- ▶ pCCD and doubly-occupied configuration interaction (DOCI) ground state energies are very close (pCCD has polynomial scaling, DOCI has exponential)
- ▶ pCCD does a good job in recovering static correlation
- ▶ Minimal CC model for describing doubly-excited states



1. How to target excited states with pCCD?

System: helium atom

2. How do pCCD and DOCI compare for excited states?

System: symmetric stretching of the linear H₄ molecule

3. Can pCCD describe doubly-excited states without EOM?

System: larger molecules

F. Kossoski, A. Marie, A. Scemama, M. Caffarel, P.-F. Loos, *J. Chem. Theory Comput.* 17, 4756 (2021)



- ▶ Usual exponential ansatz

$$|\Psi\rangle = e^{\hat{T}}|\Phi\rangle$$

- ▶ the excitation operator is

$$\hat{T} = \sum_{ia} t_i^a P_a^\dagger P_i$$

- ▶ the singlet paired operators are

$$P_q^\dagger = c_{q\alpha}^\dagger c_{q\beta}^\dagger$$

- ▶ Substitution into the Schroedinger equation leads to

$$E = \langle\Phi|e^{-\hat{T}}He^{\hat{T}}|\Phi\rangle$$

$$0 = \langle\Phi|P_i^\dagger P_a e^{-\hat{T}}He^{\hat{T}}|\Phi\rangle$$



- ▶ Equation for the energy

$$E = \langle \Phi | H | \Phi \rangle + \sum_{ia} t_i^a v_{aa}^{ii}$$

- ▶ $k = n_O \times n_V$ polynomial equations for the t -amplitudes

$$\begin{aligned} r_i^a = & v_{ii}^{aa} + 2 \left(f_a^a - f_i^i - \sum_j v_{aa}^{jj} t_j^a - \sum_b v_{bb}^{ii} t_i^b \right) t_i^a \\ & - 2 (2v_{ia}^{ia} - v_{ai}^{ia} - v_{aa}^{ii} t_i^a) t_i^a \\ & + \sum_b v_{bb}^{aa} t_i^b + \sum_j v_{ii}^{jj} t_j^a + \sum_{jb} v_{bb}^{jj} t_j^a t_i^b = 0 \end{aligned}$$

f_q^p is an element of the Fock operator and
 $v_{rs}^{pq} = \langle \phi_p \phi_q | V_{ee} | \phi_r \phi_s \rangle$ is a two-electron integral.



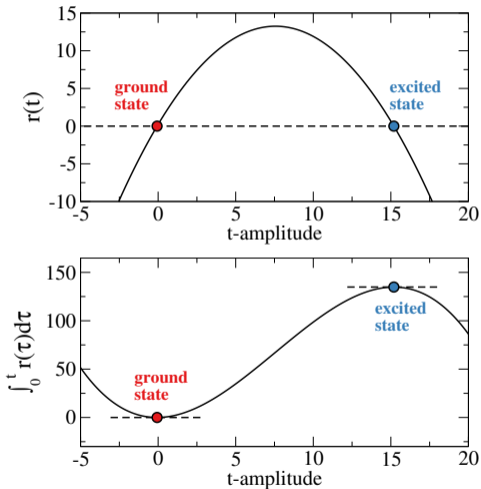
- ▶ We introduce the de-excitation operator

$$\hat{Z} = \sum_{ia} z_a^i P_i^\dagger P_a$$

- ▶ $\tilde{E} = \langle \Phi | (1 + \hat{Z}) e^{-\hat{T}} H e^{\hat{T}} | \Phi \rangle$ leads to k linear equations for the z-amplitudes

$$\begin{aligned} 0 = & v_{aa}^{ii} + 2 \left(f_a^a - f_i^i - \sum_j v_{aa}^{jj} t_j^a - \sum_b v_{bb}^{ii} t_i^b \right) z_a^i \\ & - 2 (2v_{ia}^{ia} - v_{ai}^{ai} - v_{aa}^{ii} t_i^a) z_a^i - 2v_{aa}^{ii} \left(\sum_j z_a^j t_j^a + \sum_b z_b^i t_i^b \right) \\ & + \sum_b v_{aa}^{bb} z_b^i + \sum_j v_{jj}^{ii} z_a^j + \sum_{jb} t_j^b (v_{bb}^{ii} z_a^j + v_{aa}^{jj} z_b^i) \end{aligned}$$

- ▶ $\partial \tilde{E} / \partial z_a^i = 0 \rightarrow$ eq. for the t -amplitudes; $\partial \tilde{E} / \partial t_i^a = 0 \rightarrow$ eq. for the z-amplitudes



- ▶ Residual equation and its integral, as a function of the single t -amplitude
- ▶ Usual algorithm cannot find the excited state solution
- ▶ Information about the curvature is required: Jacobian



- ▶ Newton-Raphson algorithm:

$$t_i^a \leftarrow t_i^a - \sum_{jb} (\mathbf{J})_{ia,jb}^{-1} r_j^b$$

- ▶ For the ground state, a constant diagonal approximation is fine,

$$J_{ia,ia} = \frac{\partial r_i^a}{\partial t_i^a} \approx 2(f_a^a - f_i^i)$$

- ▶ But for excited states, the Jacobian is required. The (t -dependent) diagonal Jacobian usually works,

$$J_{ia,ia} = 2f_a^a - 2f_i^i - 4v_{ia}^{ia} + 2v_{ai}^{ia} + v_{aa}^{aa} + v_{ii}^{ii} - \sum_j v_{jj}^{aa} t_j^a - \sum_b v_{ii}^{bb} t_i^b$$

- ▶ Extra computational burden lies in memory, not so much in time.



1. How to target excited states with pCCD?

System: helium atom

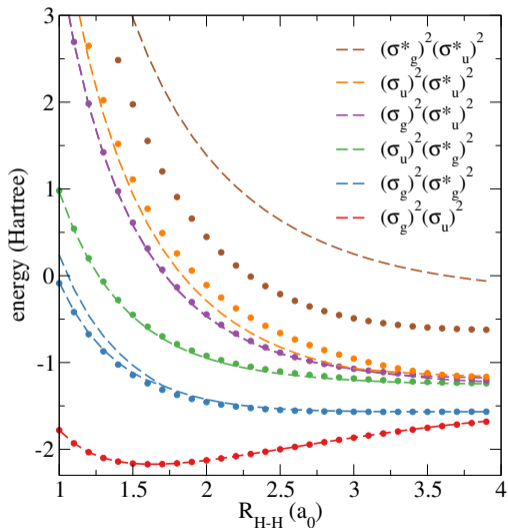
Tailored algorithms are needed.

2. How do pCCD and DOCI compare for excited states?

System: symmetric stretching of the linear H_4 molecule

3. Can pCCD describe doubly-excited states without EOM?

System: larger molecules



- ▶ HF-pCCD (dashed) vs. DOCI(HF) (points)
- ▶ Results match for ground state, but not for excited states
- ▶ Two solutions for first and third doubly-excited states



1. How to target excited states with pCCD?

System: helium atom

Tailored algorithms are needed.

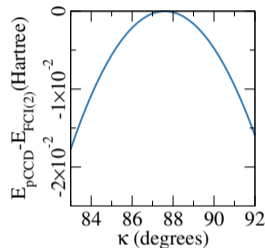
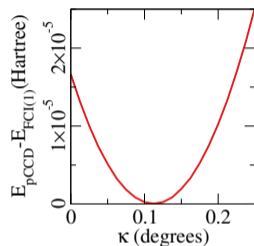
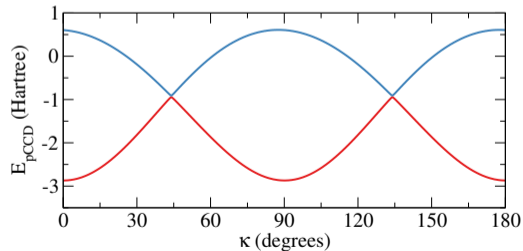
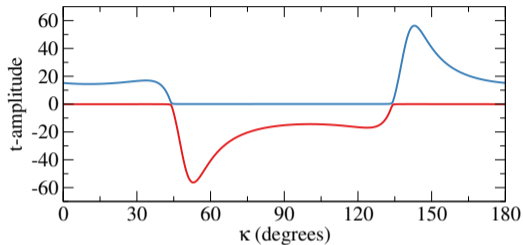
2. How do pCCD and DOCI compare for excited states?

System: symmetric stretching of the linear H_4 molecule

Very badly.

3. Can pCCD describe doubly-excited states without EOM?

System: larger molecules



- ▶ Each state is variationally optimized with different references:
- ▶ Ground state: $\kappa = 0.12$ degrees
- ▶ Doubly-excited state: $\kappa = 87.8$ degrees



- ▶ The orbital rotations are introduced by $e^{\hat{\kappa}}$, where $\hat{\kappa}$ encompasses all unique rotations,

$$\hat{\kappa} = \sum_{p>q} \kappa_{pq} (c_{p\uparrow}^\dagger c_{q\uparrow} - c_{q\uparrow}^\dagger c_{p\uparrow} + c_{p\downarrow}^\dagger c_{q\downarrow} - c_{q\downarrow}^\dagger c_{p\downarrow}).$$

- ▶ The energy can be expressed as a functional of the orbital rotation parameters κ_{pq} ,

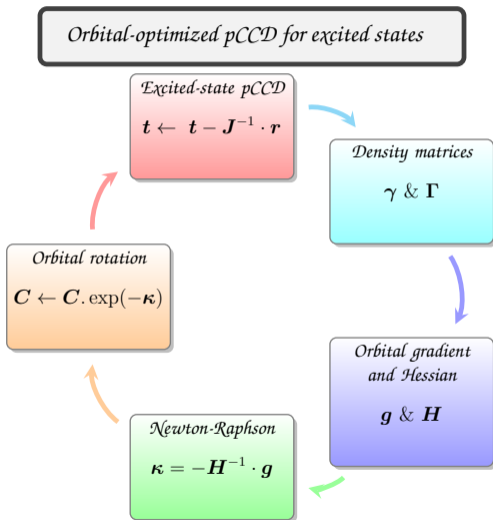
$$\tilde{E}(\hat{\kappa}) = \langle \Phi | (1 + \hat{Z}) e^{-\hat{T}} e^{-\hat{\kappa}} \hat{H} e^{\hat{\kappa}} e^{\hat{T}} | \Phi \rangle.$$

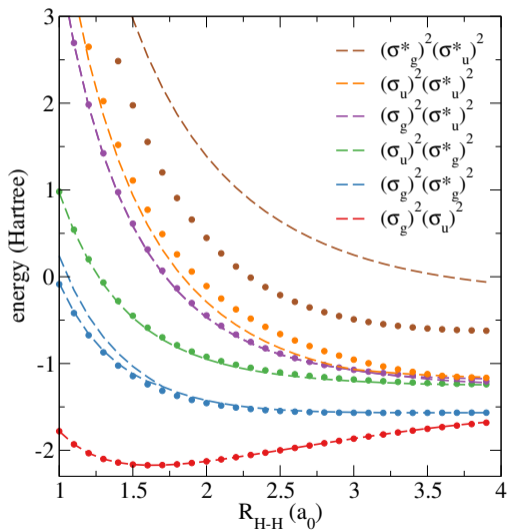
- ▶ Stationary points with respect to κ_{pq} can be found with the Newton-Raphson method. The energy is expanded to second order around $\kappa = \mathbf{0}$,

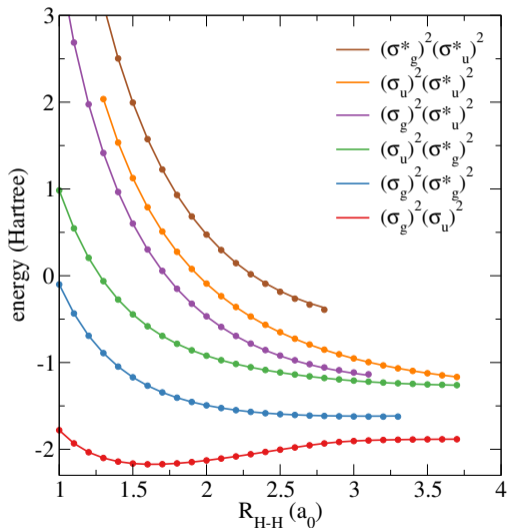
$$\tilde{E}(\kappa) \approx \tilde{E}(\mathbf{0}) + \mathbf{g} \cdot \kappa + \frac{1}{2} \kappa^\dagger \cdot \mathbf{H} \cdot \kappa,$$

- ▶ and the orbital rotation vector is taken as

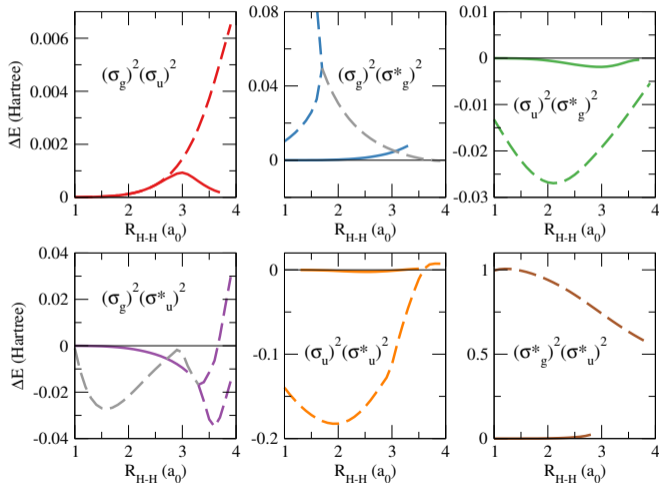
$$\kappa = -\mathbf{H}^{-1} \cdot \mathbf{g}.$$







- ▶ oo-pCCD (solid) vs. DOCI(oo-pCCD) (points)
- ▶ Results match for all states
- ▶ One single and real solution for each state
- ▶ In HF-pCCD, important higher-order connected excitations are missing (specially the connected quadruples)
- ▶ In oo-pCCD, they are mostly recovered with the optimized reference wave function
- ▶ Importance of orbital relaxation!



- ▶ Differences between pCCD and DOCI energies, computed with either HF (dashed) or state specific oo (solid)
- ▶ Massive improvement with orbital optimization
- ▶ DOCI and oo-pCCD do provide comparable excited states energies, as long as the references are suitable



1. How to target excited states with pCCD?

System: helium atom

Tailored algorithms are needed.

2. How do pCCD and DOCI compare for excited states?

System: symmetric stretching of the linear H_4 molecule

~~Very badly.~~

Very well, but only at the oo-pCCD level.

3. Can pCCD describe doubly-excited states without EOM?

System: larger molecules



- ▶ Set of 5 molecules: CH^+ , BH, nitroxyl, nitrosomethane, and formaldehyde
- ▶ 6-31+G(d) basis set, frozen core

Vertical Excitation Energies (ΔE , in eV) for the First Doubly-Excited States

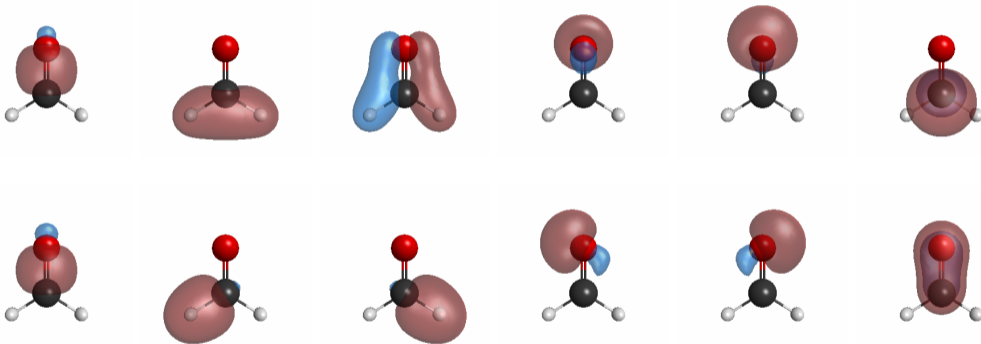
molecule	method	ΔE
CH ⁺	HF-pCCD	7.90
	Δ_{oo} -pCCD	8.32
	FCI	8.51
	EOM-CCSDTQ	8.51
	EOM-CCSDT	8.58
	CC3	8.74

molecule	method	ΔE
BH	HF-pCCD	10.83
	Δ_{oo} -pCCD	7.35
	FCI	7.11
	EOM-CCSDTQ	7.11
	EOM-CCSDT	7.14
	CC3	7.29

Vertical Excitation Energies (ΔE , in eV) for the First Doubly-Excited States

molecule	method	ΔE	
HNO	HF-pCCD	5.53	
	Δ_{oo} -pCCD	4.49	
	FCI ^a	4.51	
	EOM-CCSDTQ ^a	4.54	
	EOM-CCSDT ^a	4.81	
	CC3 ^a	5.28	
H ₃ C-NO	Δ_{oo} -pCCD	4.66	
	FCI ^a	4.86	
	EOM-CCSDT ^a	5.26	
	CC3 ^a	5.73	
	H ₂ C=O	Δ_{oo} -pCCD	11.26
		FCI ^a	10.86
EOM-CCSDTQ ^a		10.87	
EOM-CCSDT ^a		11.10	
CC3 ^a		11.49	

^a J. Chem. Theory Comput. 15, 1939 (2019)

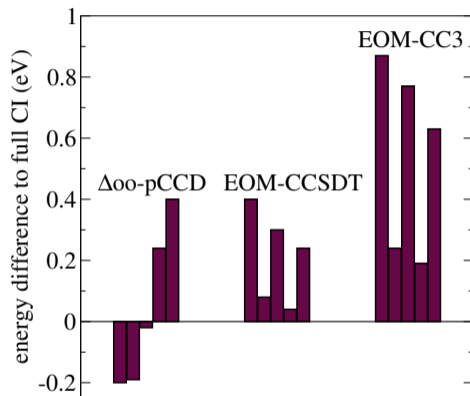


Variationally optimized orbitals at the pCCD level, for the ground (bottom) and the doubly-excited (top) states of formaldehyde.



Root-mean square error (RMSE), maximum absolute error (MAE), and maximum signed error (MSE), with respect to FCI results.

method	RMSE	MAE	MSE
$\Delta_{\text{oo-pCCD}}$	0.24	0.21	0.05
EOM-CCSDT	0.25	0.21	0.21
CC3	0.61	0.54	0.54



- ▶ $\Delta_{\text{oo-pCCD}} \sim \text{EOM-CCSDT} > \text{CC3}$
- ▶ Alternative method for targeting doubly-excited states
- ▶ Correlations effects are more balanced in $\Delta_{\text{oo-pCCD}}$



1. How to target excited states with pCCD?

System: helium atom

Tailored algorithms are needed.

2. How do pCCD and DOCI compare for excited states?

System: symmetric stretching of the linear H_4 molecule

Very well, but only at the oo-pCCD level.

3. Can pCCD describe doubly-excited states without EOM?

System: larger molecules

Yes, quite accurately, but only at the oo-pCCD level.



- ▶ <https://quantum-package.readthedocs.io/en/master/>
- ▶ https://github.com/kossoski/qp_plugins_kossoski
- ▶ An open-source environment for the development of new quantum chemistry methods
- ▶ Efficient selected configuration interaction algorithm, can provide near-exact absolute and excitation energies



Variational coupled cluster for ground and excited states

A. Marie, F. Kossoski, P.-F. Loos, *J. Chem. Phys.* 155, 104105 (2021).



Part 2: Configuration interaction with excitation degree and seniority number



CI with excitation degree

d	
0	
1	
2	
3	



CI with excitation degree

d	
0	HF
1	
2	
3	



CI with excitation degree

d	
0	
1	CIS
2	
3	



CI with excitation degree

d	
0	
1	
2	CISD
3	



CI with excitation degree

d	
0	
1	
2	
3	CISDT



CI with seniority number

Ω	0	2	4	6



CI with seniority number

Ω	0	2	4	6
	CIΩ0			



CI with seniority number

Ω	0	2	4	6
		CIΩ2		



CI with seniority number

Ω	0	2	4	6
			CIΩ4	



CI with seniority number

Ω	0	2	4	6
				CIΩ6



CI with excitation degree

d/Ω	0	2	4	6	8
0					
1					
2					
3					
4					
5					
6					



CI with excitation degree

d/Ω	0	2	4	6	8
0	HF				
1					
2					
3					
4					
5					
6					



CI with excitation degree

d/Ω	0	2	4	6	8
0					
1		CIS			
2					
3					
4					
5					
6					



CI with excitation degree

d/Ω	0	2	4	6	8
0					
1					
2			CISD		
3					
4					
5					
6					



CI with excitation degree

d/Ω	0	2	4	6	8
0					
1					
2					
3				CISDT	
4					
5					
6					



CI with seniority number

d/Ω	0	2	4	6	8
0					
1					
2					
3					
4					
5					
6					



CI with seniority number

d/Ω	0	2	4	6	8
0	CIΩ0				
1					
2					
3					
4					
5					
6					



CI with seniority number

d/Ω	0	2	4	6	8
0					
1		CIΩ2			
2					
3					
4					
5					
6					



CI with seniority number

d/Ω	0	2	4	6	8
0					
1					
2			CIΩ4		
3					
4					
5					
6					



CI with seniority number

d/Ω	0	2	4	6	8
0					
1					
2					
3				CIΩ6	
4					
5					
6					



CI with excitation degree and seniority number

A new CI hierarchy: $C_{l,o}$

$$o = \frac{d + \Omega/2}{2}$$

d : excitation degree

Ω : seniority number

o : order of the determinant



1st motivation: physical

CI with excitation degree quickly recovers dynamic correlation

CI with seniority number performs well for static correlation

Clo aims at accounting for most of both

2nd motivation: empirical

Any well-defined truncation scheme is valid.

Is Clo effective?



CI with excitation degree and seniority number

d/Ω	0	2	4	6	8
0					
1					
2					
3					
4					
5					
6					



CI with excitation degree and seniority number

d/Ω	0	2	4	6	8
0	HF				
1					
2					
3					
4					
5					
6					



CI with excitation degree and seniority number

d/Ω	0	2	4	6	8
0					
1		Cl₀1			
2					
3					
4					
5					
6					



CI with excitation degree and seniority number

d/Ω	0	2	4	6	8
0					
1					
2		Cl₀1.5			
3					
4					
5					
6					



CI with excitation degree and seniority number

d/Ω	0	2	4	6	8
0					
1					
2			Cl₀2		
3					
4					
5					
6					



CI with excitation degree and seniority number

d/Ω	0	2	4	6	8
0					
1					
2					
3			Cl₀2.5		
4					
5					
6					



CI with excitation degree and seniority number

d/Ω	0	2	4	6	8
0					
1					
2					
3				Cl₀₃	
4					
5					
6					



CI with excitation degree and seniority number

d/Ω	0	2	4	6	8
0	1				
1					
2					
3					
4					
5					
6					



CI with excitation degree and seniority number

d/Ω	0	2	4	6	8
0	1				
1		ov			
2	ov				
3					
4					
5					
6					



CI with excitation degree and seniority number

d/Ω	0	2	4	6	8
0	1				
1		ov			
2	ov	ov(o+v)			
3					
4					
5					
6					



CI with excitation degree and seniority number

d/Ω	0	2	4	6	8
0	1				
1		ov			
2	ov	ov(o+v)	o^2v^2		
3		o^2v^2			
4	o^2v^2				
5					
6					



CI with excitation degree and seniority number

d/Ω	0	2	4	6	8
0	1				
1		ov			
2	ov	$ov(o+v)$	o^2v^2		
3		o^2v^2	$o^2v^2(o+v)$		
4	o^2v^2	$o^2v^2(o+v)$			
5					
6					



CI with excitation degree and seniority number

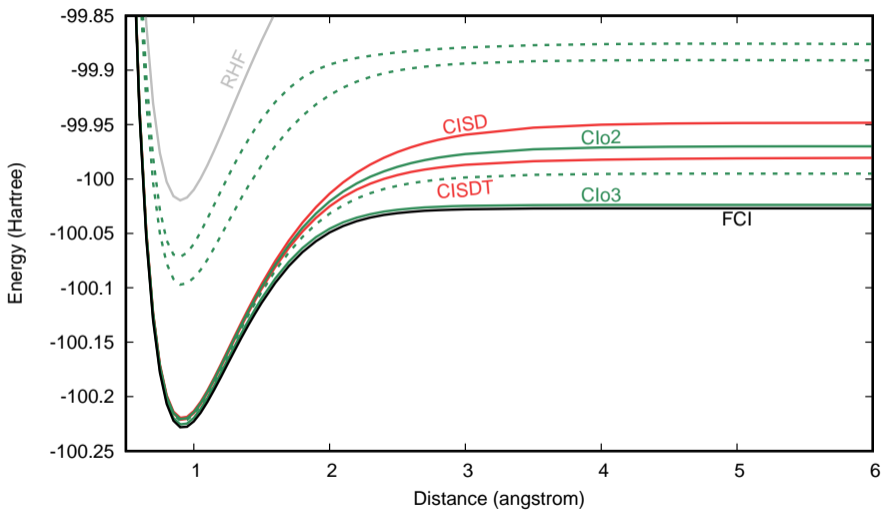
d/Ω	0	2	4	6	8
0	1				
1		ov			
2	ov	$ov(o+v)$	o^2v^2		
3		o^2v^2	$o^2v^2(o+v)$	o^3v^3	
4	o^2v^2	$o^2v^2(o+v)$	o^3v^3		
5		o^3v^3			
6	o^3v^3				

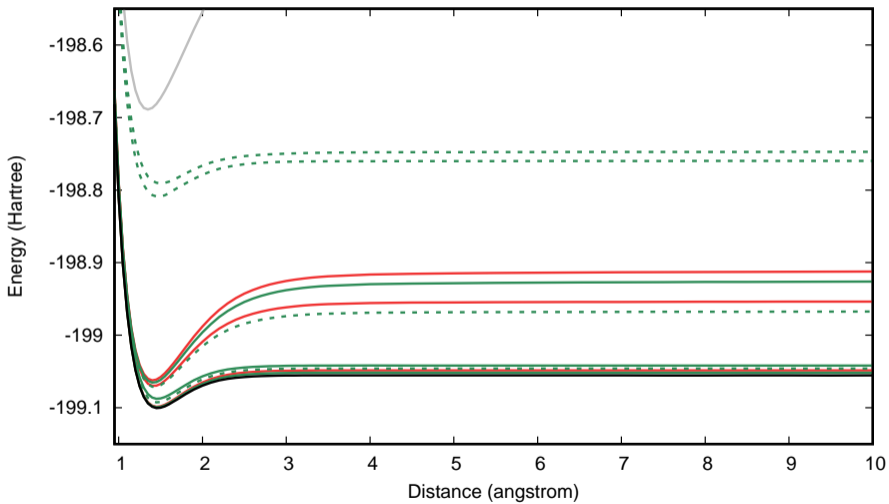


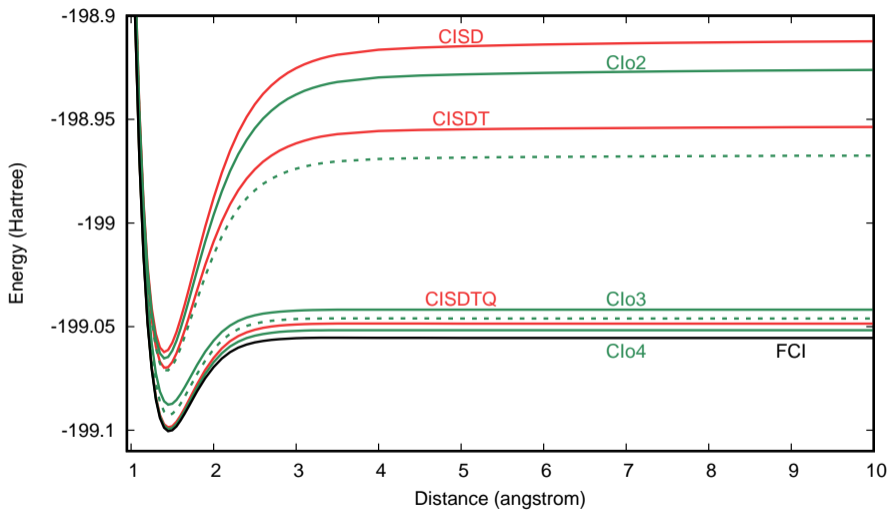
3rd motivation: computational

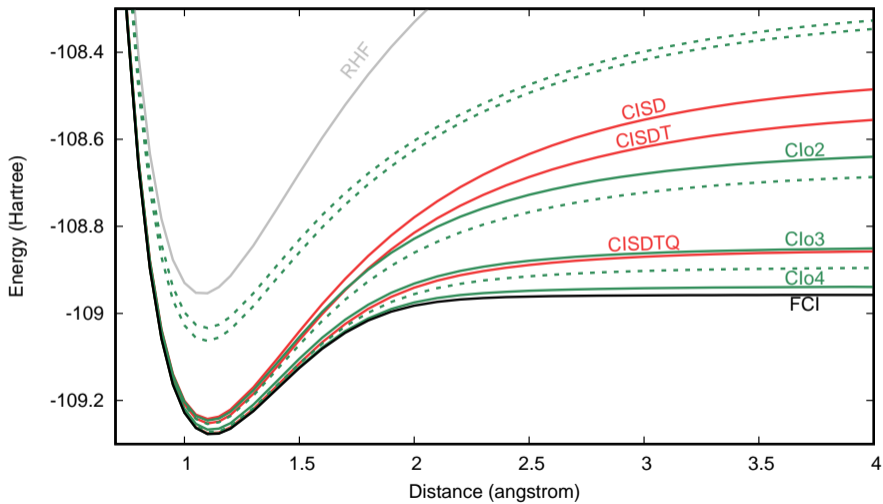
All types of determinants having the same scaling belong to the same Clo sector

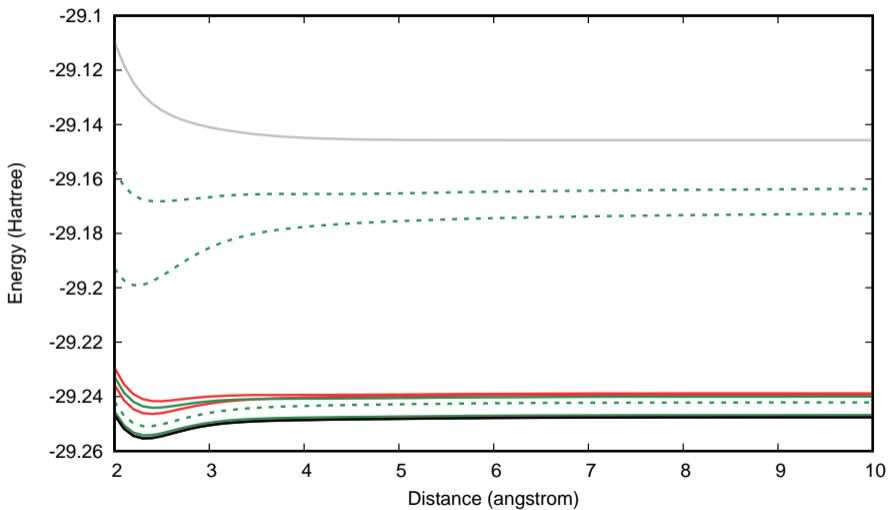
CIS	Clo1	ov
-	Clo1.5	$ov(o + v)$
CISD	Clo2	o^2v^2
-	Clo2.5	$o^2v^2(o + v)$
CISDT	Clo3	o^3v^3

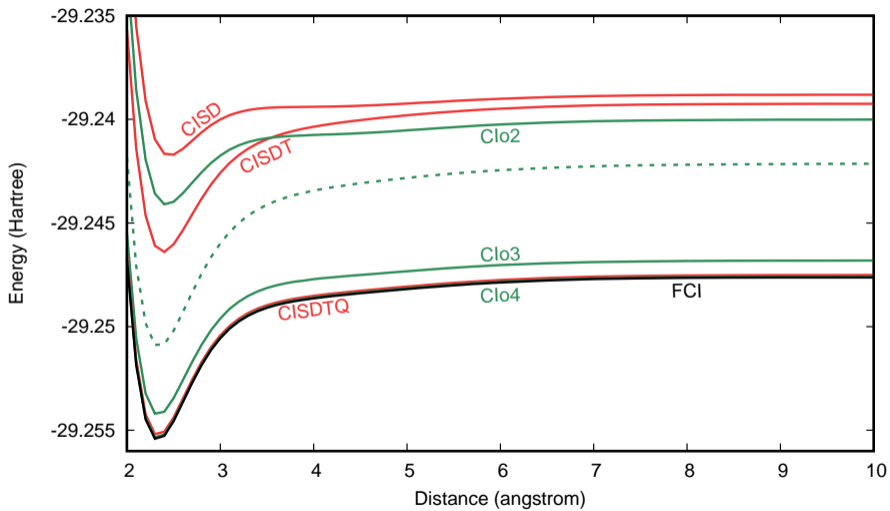














Targeting doubly-excited states with coupled cluster

- ▶ Finding excited states require tailored algorithms
- ▶ Nice features of pCCD still holds for doubly-excited states
- ▶ Δ_{oo} -pCCD model provides accurate excitation energies of doubly-excited states

Configuration interaction with seniority number and excitation degree

- ▶ Novel CI hierarchy: Clo
- ▶ Physical, empirical, and computational motivations for Clo
- ▶ Promising results for ground state potential energy curves



- ▶ How about orbital optimized CI? How much does it improve wrt to traditional CI?
- ▶ How about excited states? And avoided crossings?
- ▶ How about strongly correlated systems?
- ▶ How to extend these ideas to multireference CI and Coupled-cluster?



Thank you!