

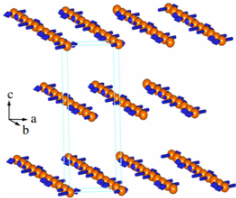
Computing low energy excitations in strongly correlated systems: RelaxSE

Elisa Rebolini ² and Marie-Bernadette Lepetit ^{1,2}

¹Institut Laue Langevin - 71 avenue des Martyrs - Grenoble - France

²Institut Néel - CNRS - 25 rue des Martyrs - Grenoble - France

GDR NBODY - Toulouse, Jan. 10th - 13th 2022



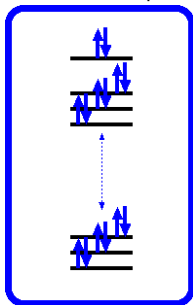
Incommensurate magnetic structure of CeCuGa₃ at 1.7 K investigated on D20 at ILL
V. K. Anand *et al*, *Phys Rev B*, **104**, 174438, (2021)

Strongly correlated systems: what?

Weakly correlated systems

$$\hat{H} = \underbrace{-\frac{1}{2} \sum_i \nabla_i^2 - \sum_i \sum_N \frac{Z_N}{|\vec{r}_i - \vec{R}_N|}}_{\text{kinetic energy dominant}} + \underbrace{\sum_{i < j} \frac{1}{|\vec{r}_i - \vec{r}_j|}}_{\bar{e}-\bar{e} \text{ repulsion weak}}$$

Minimal description : $|\Phi_0\rangle$



- Band-structure / shell model valid
- Mean-field description qualitatively correct
- well treated in DFT-based methods (DFT, TDDFT, etc. ...)

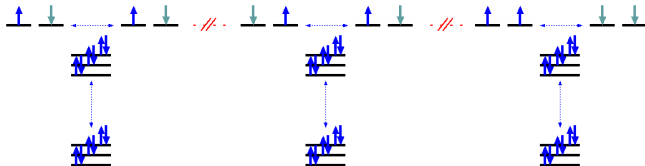
- or single ref + perturbation-based methods (GW, Bethe-Salpeter, etc. ...)

Strongly correlated systems: what?

Strongly correlated systems

$$\hat{H} = \underbrace{-\frac{1}{2} \sum_i \nabla_i - \sum_i \sum_N \frac{Z_N}{|\vec{r}_i - \vec{R}_n|}}_{\text{kinetic energy sub-dominant}} + \underbrace{\sum_{i < j} \frac{1}{|\vec{r}_i - \vec{r}_j|}}_{\bar{e}-\bar{e} \text{ repulsion dominant}}$$

Minimal description : $\sum_I c_I |\Phi_I\rangle$

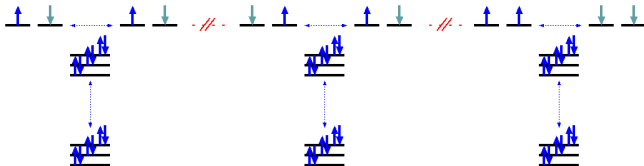


Strongly correlated systems: what?

Strongly correlated systems

$$\hat{H} = \underbrace{-\frac{1}{2} \sum_i \nabla_i^2 - \sum_i \sum_N \frac{Z_N}{|\vec{r}_i - \vec{R}_N|}}_{\text{kinetic energy sub-dominant}} + \underbrace{\sum_{i < j} \frac{1}{|\vec{r}_i - \vec{r}_j|}}_{\text{\bar{e}-\bar{e} repulsion dominant}}$$

Minimal description : $\sum_I c_I |\Phi_I\rangle$



Prop. depending on total density
 Small error in mean-field
 \Rightarrow well treated in DFT

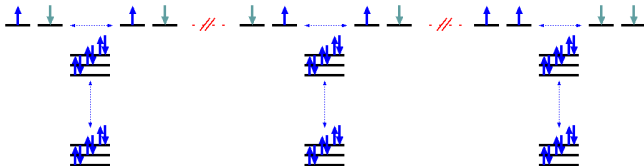
- $\left. \begin{array}{l} \text{Prop. depending on total density} \\ \text{Small error in mean-field} \\ \Rightarrow \text{well treated in DFT} \end{array} \right\} \Rightarrow \left\{ \begin{array}{l} \bullet \text{ Structural properties} \\ \bullet \text{ Phonons} \\ \bullet \text{ Polarisation} \\ \bullet \text{ Elastic properties} \\ \bullet \dots \end{array} \right.$

Strongly correlated systems: what?

Strongly correlated systems

$$\hat{H} = \underbrace{-\frac{1}{2} \sum_i \nabla_i - \sum_i \sum_N \frac{Z_N}{|\vec{r}_i - \vec{R}_N|}}_{\text{kinetic energy sub-dominant}} + \underbrace{\sum_{i < j} \frac{1}{|\vec{r}_i - \vec{r}_j|}}_{\text{\bar{e}-\bar{e} repulsion dominant}}$$

Minimal description : $\sum_I c_I |\Phi_I\rangle$



Prop. depending on
Fermi level density

Mean-field unreliable

⇒ need to be treated with
Multi Ref. Wave Funct. Th.

- ⇒
- Magnetic exchange
 - Excitations
 - Magnetic excitations
 - ...

Strongly correlated systems: why?



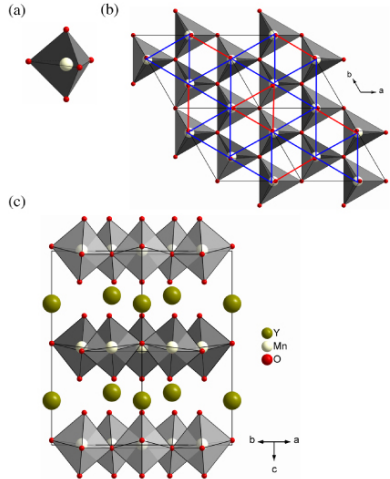
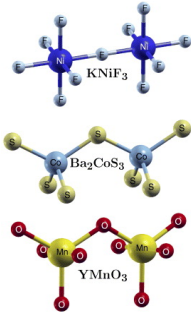
- Expression of many degrees of freedom (spin, orbital, lattice...)
- Multiple quasi-degenerate GS highly sensitive to ext. pert.
- Low energy excited states
- Remarkable properties
 - high T_c superconductivity
 - magnetism
 - multiferroicity
 - colossal magneto-resistance
 - ...
- Properties originate in low energy excitations

Focus of the talk :

- magnetic systems
- computation of low energy excitations

Ab-initio determination of magnetic interactions

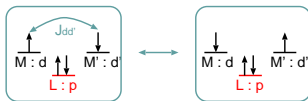
- Transition Metal Oxides (YMnO_3 , RMn_2O_5 ..)
- Rare-Earth Oxides



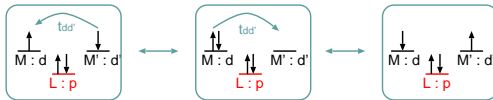
Magnetic excitation : effective magnetic exchange

Ex : singlet-triplet excitation $\frac{|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle}{\sqrt{2}} \rightarrow E_S$ $\frac{|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle}{\sqrt{2}} \rightarrow E_T$

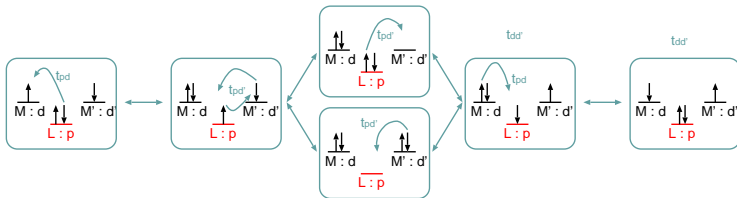
Direct



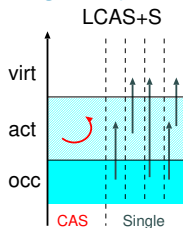
Through space



Through bridge

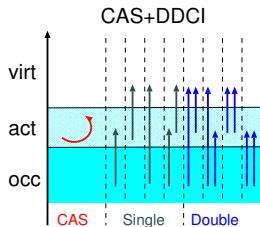


Large Complete Active Space + single excitations (LCAS+S)



C J. Calzado and J. F. Sanz and J. P. Malrieu, *J. Chem. Phys.*, **112**, 5158, (2002)

CAS+Difference Dedicated Configuration Interaction (CAS+DDCI)

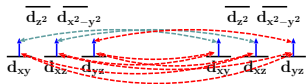


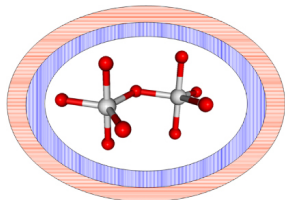
J. Miralles and J. P. Daudey and R. Caballol, *Chem. Phys. Lett.*, **198**, 555, (1992)
V. M. García *et al.*, *Chem. Phys. Lett.*, **238**, 222, (1995)
V. M. García and M. Reguero and R. Caballol, *Theor. Chem. Acc.*, **98**, 50, (1997)

Systems of interest

1																18					
1.00794 1 H Hydrogen																	4.002602 2 He Helium				
2																10					
6.941 3 Li Lithium	9.012182 4 Be Beryllium															10.811 5 B Boron	12.0107 6 C Carbon	14.00309 7 N Nitrogen	15.999 8 O Oxygen	18.9984032 9 F Fluorine	20.1797 10 Ne Neon
3																16		18			
22.98976928 11 Na Sodium	28.0855286 12 Mg Magnesium															26.9815385 13 Al Aluminum	28.0855286 14 Si Silicon	30.973761998 15 P Phosphorus	32.065 16 S Sulfur	35.453 17 Cl Chlorine	39.948 18 Ar Argon
4																30		36			
39.0983 19 K Potassium	40.078 20 Ca Calcium	44.955912 21 Sc Scandium	47.867 22 Ti Titanium	50.9415 23 V Vanadium	51.99616 24 Cr Chromium	54.938025 25 Mn Manganese	55.93494 26 Fe Iron	58.933195 27 Co Cobalt	58.933195 28 Ni Nickel	63.546 29 Cu Copper	65.38 30 Zn Zinc	69.723 31 Ga Gallium	72.64 32 Ge Germanium	74.921595 33 As Arsenic	78.96 34 Se Selenium	79.904 35 Br Bromine	83.796 36 Kr Krypton				
5																48		54			
85.4678 37 Rb Rubidium	87.62 38 Sr Strontium	88.90584 39 Y Yttrium	91.224 40 Zr Zirconium	92.90638 41 Nb Niobium	95.94 42 Mo Molybdenum	98.90625 43 Tc Technetium	101.07 44 Ru Ruthenium	102.90550 45 Rh Rhodium	106.42 46 Pd Palladium	107.8682 47 Ag Silver	112.411 48 Cd Cadmium	114.818 49 In Indium	118.710 50 Sn Tin	121.757 51 Sb Antimony	127.603 52 Te Tellurium	127.603 53 I Iodine	131.294 54 Xe Xenon				
6																80		86			
132.90545 55 Cs Cesium	137.327 56 Ba Barium	174.967 57 Lu Lutetium	175.053 58 Hf Hafnium	180.94788 59 Ta Tantalum	183.84 60 W Tungsten	186.207 61 Re Rhenium	188.90625 62 Os Osmium	190.23 63 Ir Iridium	195.084 64 Pt Platinum	196.966569 65 Au Gold	200.59 66 Hg Mercury	204.3832 67 Tl Thallium	208.9804 68 Pb Lead	208.9804 69 Bi Bismuth	208.9804 70 Po Polonium	210 71 At Astatine	222 72 Rn Radon				
7																112		118			
223.0185 87 Fr Francium	226.0254 88 Ra Radium	260.1045 89 Lr Lawrencium	261.1087 90 Rf Rutherfordium	263.1089 91 Db Dubnium	263.1089 92 Sg Seaborgium	263.1089 93 Bh Bohrium	263.1089 94 Hs Hassium	263.1089 95 Mt Meitnerium	263.1089 96 Ds Darmstadtium	263.1089 97 Rg Roentgenium	263.1089 98 Cn Copernicium	263.1089 99 Uut Ununtrium	263.1089 100 Fl Flerovium	263.1089 101 Uup Ununpentium	263.1089 102 Lv Livermorium	263.1089 103 Uus Ununseptium	263.1089 104 Uuo Ununoctium				

138.90547 57 La Lanthanum	140.12 58 Ce Cerium	140.90765 59 Pr Praseodymium	144.242 60 Nd Neodymium	147.07 61 Pm Promethium	150.36 62 Sm Samarium	151.964 63 Eu Europium	157.25 64 Gd Gadolinium	158.92535 65 Tb Terbium	174.975 66 Dy Dysprosium	175.053 67 Ho Holmium	177.053 68 Er Erbium	187.0459 69 Tm Thulium	188.90547 70 Yb Ytterbium
227.0337 89 Ac Actinium	232.0377 90 Th Thorium	231.03688 91 Pa Protactinium	237.04372 92 U Uranium	237.04372 93 Np Neptunium	244.04187 94 Pu Plutonium	247.07125 95 Am Americium	251.07948 96 Cm Curium	252.08329 97 Bk Berkelium	257.10375 98 Cf Californium	258.10529 99 Es Einsteinium	261.1087 100 Fm Fermium	262.1087 101 Md Mendelevium	265.1087 102 No Nobelium





How to reach experimental accuracy?

- Embedded cluster (quantum, pseudo-potential and point charges) \leadsto finite systems
- State-of-the-art ab-initio calculations for strongly correlated systems

Challenges

Size of the problem growing exponentially with the number of open shells \leadsto computational wall

WF requirements :

- multi configurational
- equal treatment for GS & exct. states
- \Rightarrow all previous conf. have to be included at 0th order (ref. conf.)
- screening effects have to be included on all ref. conf.
 - dynamical correlation
 - single-excitation on all ref. conf.
 - modify relative weight between ref. conf.
 - \Rightarrow need to be in non-contracted CI

WF non-requirements : (vert. excitations only)

- common part of screening effects between GS & exct. states can be skipped

SAS+S method: for many magn. orb. per atom



SASS : A. Gellé, J. Varignon and M.-B. Lepetit, *EPL*, **88**, 37003 (2009).

All reference configurations need to be treated on equal footing

- Magnetic and bridging orb. \in CAS (Large Complete Active Space)
 \leadsto Impossible when number of magn. orb. increases

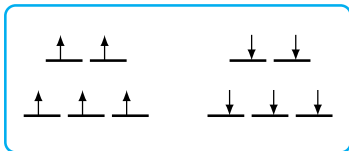
SAS+S method: for many magn. orb. per atom

SASS : A. Gellé, J. Varignon and M.-B. Lepetit, *EPL*, **88**, 37003 (2009).

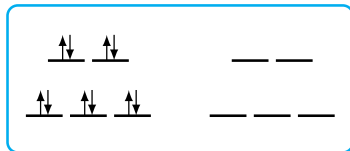
All reference configurations need to be treated on equal footing

- Magnetic and bridging orb. \in CAS (Large Complete Active Space)
~> Impossible when number of magn. orb. increases

Physically relevant (large weight)



Physically irrelevant (very small weight)



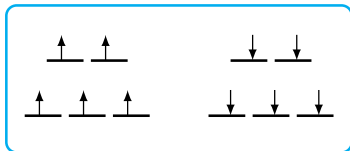
SAS+S method: for many magn. orb. per atom

SASS : A. Gellé, J. Varignon and M.-B. Lepetit, *EPL*, **88**, 37003 (2009).

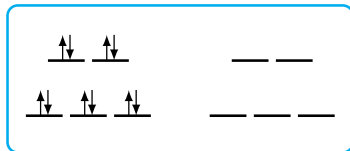
All reference configurations need to be treated on equal footing

- Magnetic and bridging orb. \in CAS (Large Complete Active Space)
 \leadsto Impossible when number of magn. orb. increases

Physically relevant (large weight)



Physically irrelevant (very small weight)



- Ref. conf. : only dominant conf. in LCAS : SAS+S
Selected Active Space + Single-excitation from bridging orbitals

SAS+S method: for many magn. orb. per atom

SASS : A. Gellé, J. Varignon and M.-B. Lepetit, *EPL*, **88**, 37003 (2009).

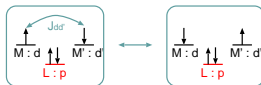
$$|\Psi_m^{SASS}\rangle = \underbrace{\sum_I C_{I,m}^0 |\Phi_I^0\rangle}_{\substack{\text{zeroth-order : ref0} \\ \text{dominant magn. conf.}}} + \underbrace{\sum_J C_{J,m}^1 |\Phi_J^1\rangle}_{\substack{\text{charge transfer} \\ \text{+ static corr : ref1}}} + \underbrace{\sum_{J^*} C_{J^*,m} |\Phi_{J^*}\rangle}_{\text{screening}} \underbrace{\hspace{10em}}_{\text{Single-excitations on ref.}}$$

SAS+S method: for many magn. orb. per atom

SASS : A. Gellé, J. Varignon and M.-B. Lepetit, *EPL*, **88**, 37003 (2009).

$$|\Psi_m^{SASS}\rangle = \underbrace{\sum_I C_{I,m}^0 |\Phi_I^0\rangle}_{\text{zeroth-order : ref0 dominant magn. conf.}} + \underbrace{\sum_J C_{J,m}^1 |\Phi_J^1\rangle}_{\text{charge transfer + static corr : ref1}} + \underbrace{\sum_{J^*} C_{J^*,m} |\Phi_{J^*}\rangle}_{\text{Screening Single-excitations on ref.}}$$

- Select the important configurations on the active (magnetic) orbitals (ref 0)

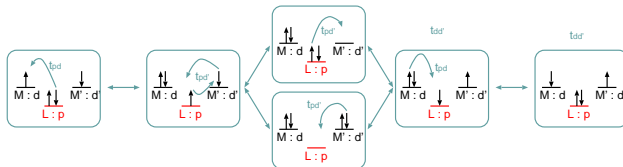


SAS+S method: for many magn. orb. per atom

SASS : A. Gellé, J. Varignon and M.-B. Lepetit, *EPL*, **88**, 37003 (2009).

$$|\Psi_m^{SASS}\rangle = \underbrace{\sum_I C_{I,m}^0 |\Phi_I^0\rangle}_{\substack{\text{zeroth-order : ref0} \\ \text{dominant magn. conf.}}} + \underbrace{\sum_J C_{J,m}^1 |\Phi_J^1\rangle}_{\substack{\text{charge transfer} \\ \text{+ static corr : ref1}}} + \underbrace{\sum_{J^*} C_{J^*,m} |\Phi_{J^*}\rangle}_{\text{Single-excitations on ref.}}$$

- Select the important configurations on the active (magnetic) orbitals (ref 0)
- From them: build the additional important configurations (metal/metal), (ligand-metal) and/or (metal-ligand) for the exchange mechanism (ref 1)
- + all conf. for S^2 eigenfunctions



SAS+S method: for many magn. orb. per atom

SASS : A. Gellé, J. Varignon and M.-B. Lepetit, *EPL*, **88**, 37003 (2009).

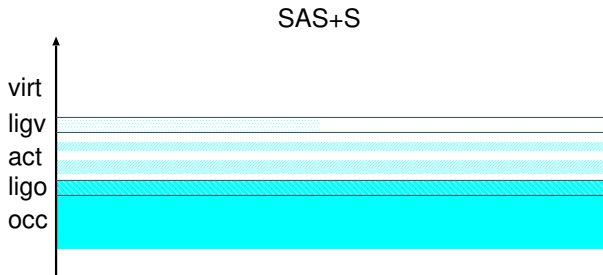
$$|\Psi_m^{SASS}\rangle = \underbrace{\sum_I C_{I,m}^0 |\Phi_I^0\rangle}_{\text{zeroth-order : ref0
dominant magn. conf.}} + \underbrace{\sum_J C_{J,m}^1 |\Phi_J^1\rangle}_{\text{charge transfer
+ static corr : ref1}} + \underbrace{\sum_{J^*} C_{J^*,m} |\Phi_{J^*}\rangle}_{\text{screening
Single-excitations on ref.}}$$

- Select the important configurations on the active (magnetic) orbitals (ref 0)
- From them: build the additional important configurations (metal/metal), (ligand-metal) and/or (metal-ligand) for the exchange mechanism (ref 1)
- + all conf. for S^2 eigenfunctions
- Screening from single excitations on the references

SAS+S method: orbital partitioning and determinant generation

5 classes of orbitals:

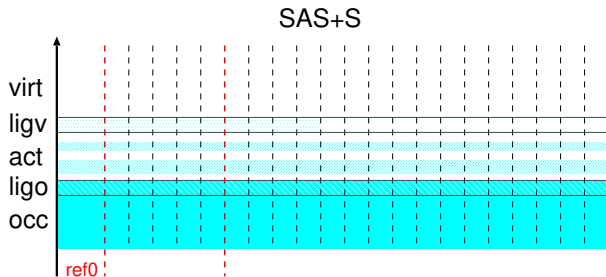
occupied, active, virtual, ligand occupied and ligand virtual



SAS+S method: orbital partitioning and determinant generation

5 classes of orbitals:

occupied, active, ligand occupied and ligand virtual

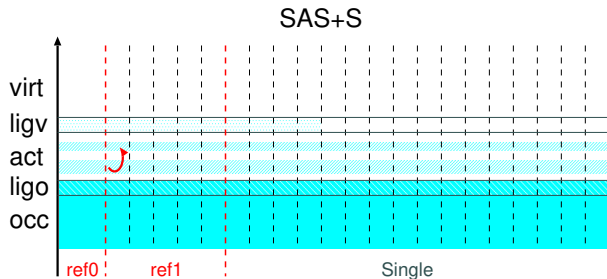


- The dominant magn. conf. : ref0

SAS+S method: orbital partitioning and determinant generation

5 classes of orbitals:

occupied, active, virtual, ligand occupied and ligand virtual

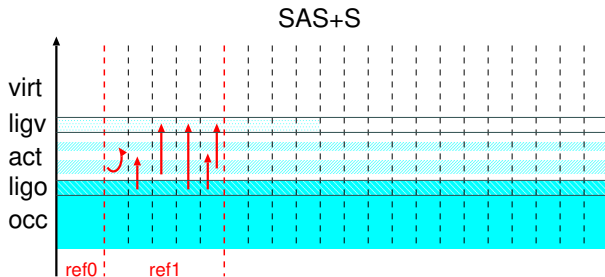


- The dominant magn. conf. : ref0
- The magn. orb \rightarrow magn. orb excitations on ref0: ref1
- The ligand - magn. site charge transferts on ref0: ref1

SAS+S method: orbital partitioning and determinant generation

5 classes of orbitals:

occupied, active, virtual, ligand occupied and ligand virtual

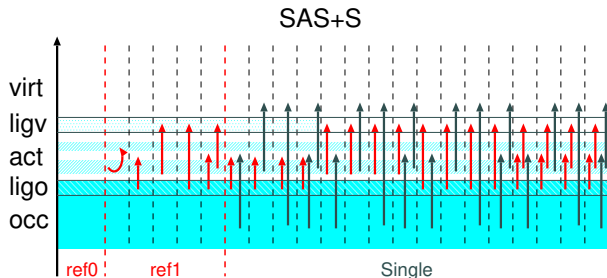


- The dominant magn. conf. : ref0
- The magn. orb \rightarrow magn. orb excitations on ref0: ref1
- The ligand - magn. site charge transferts on ref0: ref1

SAS+S method: orbital partitioning and determinant generation

5 classes of orbitals:

occupied, active, virtual, ligand occupied and ligand virtual



- The dominant magn. conf. : ref0
- The magn. orb \rightarrow magn. orb excitations on ref0: ref1
- The ligand - magn. site charge transfers on ref0: ref1
- The screening effects: all singles on ref0 + ref1

The RelaxSE code: Challenges



Memory

- Number of configurations (up to 10^9)
- Hamiltonian matrix cannot be stored
- Iterative Davidson algorithm to compute the first eigenvalues and eigenvectors

Disk Access

- One- and two-electron integrals read from disk
- Optimize procedure to minimize the number of disk access (integral driven)

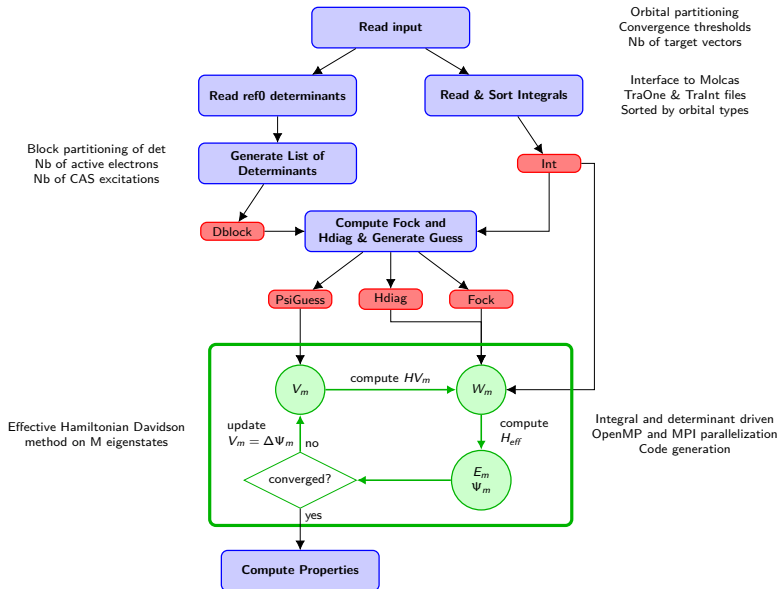
CPU/Total Time

- Iterative procedure: re-computation of (independent) vectors for each iteration
- Time consuming but massively parallelizable (determinant driven)

User Friendliness/Modularity

- Minimal input from the user
- Interface to mainstream CAS-SCF codes

The RelaxSE code: Flowchart



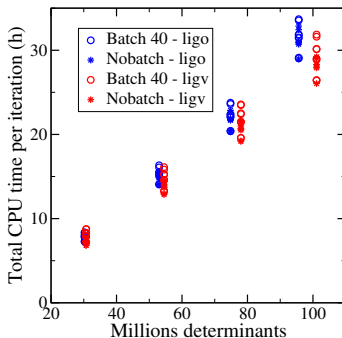
- Preliminary calculations
 - CASSCF on magn orb.
 - localisation of active orbitals
 - identification of bridging ligand orbitals
 - integral transformation
- Configuration interaction
- 5 SAS+S classes of orb. + frozen occupied and deleted virtual orbitals
- SAS+S, CAS+DDCI, CAS+S, CAS+SD
- OpenMP + MPI parallelisation
- Up to 10^9 determinants
- Interfaced with Molcas
- LGPL license

The RelaxSE code: Performance

Table: Orbital partitioning in the YMnO_3 calculations.

Set	N_{occ}	N_{ligo}	N_{act}	N_{ligv}	N_{virt}	N_{det}
LIGO	49	2	8	0	140	30 267 828
	47	4	8	0	140	53 017 324
	45	6	8	0	140	74 811 684
	43	8	8	0	140	95 650 908
LIGV	51	0	8	2	138	30 721 372
	51	0	8	4	136	54 531 036
	51	0	8	6	134	77 992 188
	51	0	8	8	132	101 104 828
BIG	47	4	8	6	134	1 097 706 172

Figure: CPU scaling as a function of N_{det}

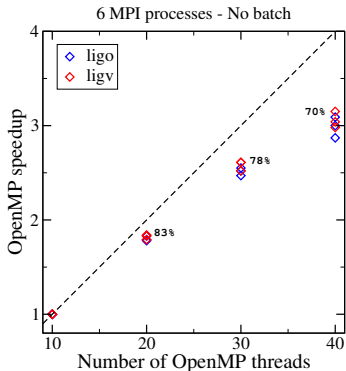


The RelaxSE code: Performance

Table: Orbital partitioning in the YMnO_3 calculations.

Set	N_{occ}	N_{ligo}	N_{act}	N_{ligv}	N_{virt}	N_{det}
LIGO	49	2	8	0	140	30 267 828
	47	4	8	0	140	53 017 324
	45	6	8	0	140	74 811 684
	43	8	8	0	140	95 650 908
LIGV	51	0	8	2	138	30 721 372
	51	0	8	4	136	54 531 036
	51	0	8	6	134	77 992 188
	51	0	8	8	132	101 104 828
BIG	47	4	8	6	134	1 097 706 172

Figure: OpenMP speedup compared to calculations with 10 OpenMP threads

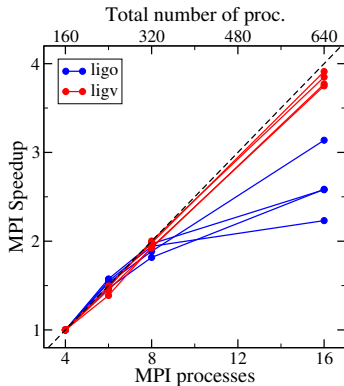


The RelaxSE code: Performance

Table: Orbital partitioning in the YMnO_3 calculations.

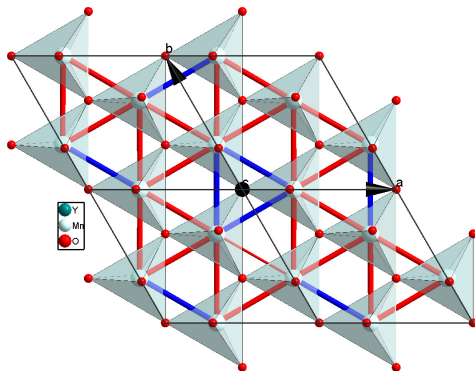
Set	N_{occ}	N_{ligo}	N_{act}	N_{ligv}	N_{virt}	N_{det}
LIGO	49	2	8	0	140	30 267 828
	47	4	8	0	140	53 017 324
	45	6	8	0	140	74 811 684
	43	8	8	0	140	95 650 908
LIGV	51	0	8	2	138	30 721 372
	51	0	8	4	136	54 531 036
	51	0	8	6	134	77 992 188
	51	0	8	8	132	101 104 828
BIG	47	4	8	6	134	1 097 706 172

Figure: MPI speedup compared to a calculation with 4 MPI processes



Application: hexagonal YMnO_3 compound

Magnetic pattern



Magnetic interactions

$$J_1 = -3.19 \text{ meV}$$

$$J_2 = -3.41 \text{ meV}$$

$$J_{av} = -2.3 \text{ meV [1]}$$

$$J_{av} = -3.0 \text{ meV [2]}$$

1 S. Petit *et al*, Phys. Rev. Letters **99**, 266604 (2007).

2 J. Park *et al*, Phys. Rev. B **68**, 104426 (2003). (2003).

Conclusions

- SAS+S, CAS+DDCI, CAS+S, CAS+SD
- OpenMP + MPI parallelisation
- Up to 10^9 determinants
- Interfaced with Molcas
- LGPL license

Perspectives

- Determination of the bridging orbitals
- Inclusion of spin-orbit effects
- Decreasing the memory usage

References

- **SAS+S method**
A. Gellé, J. Varignon and M.-B. Lepetit, *EPL*, **88**, 37003 (2009).
- **RelaxSE code**
E. Rebolini and M.-B. Lepetit, *J. Chem. Phys.*, **154**, 164116 (2021).
- **RelaxSE git repository** <https://code.ill.fr/relaxse/relaxse-code.git>

Acknowledgements

- Marie-Bernadette Lepetit
- IDRIS/GENCI – Grant Number 91842
- ANR project HTHPCM (2TB node)